

IPR

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23448 7590 10/06/2006

INTELLECTUAL PROPERTY / TECHNOLOGY LAW
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| | |
|------------------|--------------------|
| Kate Pope | (Depositor's name) |
| <i>Kate Pope</i> | (Signature) |
| November 7, 2006 | (Date) |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/622,275 | 07/18/2003 | Tilak M. Shah | 4179-123 | 4204 |

TITLE OF INVENTION: INFLATABLE DUAL BALLOON CATHETER

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | YES | \$700 | \$300 | \$0 | \$1000 | 01/08/2007 |

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|---------------------------|----------|----------------|
| WILLIAMS, CATHERINE SERKE | 3763 | 604-096000 |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

- 1 Vincent K. Gustafson
2 Steven J. Hultquist
3 Intellectual Property/
Technology Law

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Polyzen, Inc.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Cary, NC

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

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5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

Vincent K. Gustafson
Vincent K. Gustafson

Date November 7, 2006

Typed or printed name

Registration No. 46,182

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

| | | | |
|--|---|------------------|-----------------------|
| In re United States Patent Application of: |) | Docket No.: | 4179-123 |
| |) | | |
| Applicants: |) | SHAH, Tilak M. |) |
| |) | | |
| Application No.: |) | 10/622,275 |) |
| |) | | |
| Date Filed: |) | July 18, 2003 |) |
| |) | | |
| |) | Examiner: | Catherine S. Williams |
| |) | | |
| Title: |) | INFLATABLE DUAL | |
| |) | BALLOON CATHETER | |
| |) | Customer No.: | 23448 |

FACSIMILE TRANSMISSION CERTIFICATE**ATTN: Examiner Catherine S. Williams****Fax No. (571) 273-2885**

I hereby certify that this document, along with any enclosures identified herein, is being filed in the United States Patent and Trademark Office, via facsimile transmission to Mail Stop: Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date specified below, to United States Patent and Trademark Office facsimile transmission number (571) 273-2885.

4 pagesNumber of Pages (including cover)
Kate PopeNovember 7, 2006Date**SUBMISSION OF ISSUE FEE IN U.S. PATENT APPLICATION NO. 10/622,275**

Mail Stop: Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

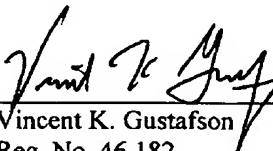
Sir:

Enclosed is the Issue Fee Transmittal, along with a Credit Card Form authorizing the Commissioner for Patents to charge the total amount of \$1,000.00 (\$700.00 for the issue fee and \$300.00 for the publication fee), in response to the Notice of Allowance dated October 6, 2006 in

4179-123

the above-identified patent application. The Commissioner is requested to charge any deficiency or credit any overpayment to Deposit Account No. 08-3284 of Intellectual Property/Technology Law.

Respectfully submitted,



Vincent K. Gustafson
Reg. No. 46,182
Attorney for Applicants

INTELLECTUAL PROPERTY/
TECHNOLOGY LAW
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Attorney File No.: 4179-123

Enclosures:
Issue Fee Transmittal [1 pg.]
Credit Card Form [1 pg.]

The USPTO is hereby authorized to charge any deficiency or credit any overpayment of fees properly payable for this document to Deposit Account No. 083284